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Abstract

The current rate of climate change poses a threat to a substantial portion of species worldwide, increasing the need for a varied range of conservation management strategies. Effective and timely actions will be required to counter the additional pressure that will be placed on vulnerable species as the climate changes. The Hawaiian Islands hold the highest rate of extinction per square area on earth. Models suggest that climate change will lead to the loss of many of the five hundred endangered species in [redacted] alone. Given the high potential for further loss of biodiversity, the Pacific Islands are a prime location to implement novel conservation strategies. Assisted colonization, the intentional movement and release of an organism outside its indigenous range, is one management alternative for species that are predicted to lack suitable habitat under likely climate change scenarios. Despite existing policies that allow for assisted colonization in cases where it is warranted, this action is rarely considered. First person interviews with employees of federal, state and non-profit agencies, surveys, as well as literature searches were used to evaluate both the perceived and existing obstacles concerning the use of assisted colonization, with a focus on cases where this action may provide a reasonable hedge against extinction. Several potential barriers to the use of this management tool were found. Assisted colonization is considered by many to be a high-risk tool, due to the cost of preparing the target habitat, the mortality often experienced by translocated individuals, and a lack of familiarity with this method among managers. Since assisted colonization is best carried out when populations are robust enough to tolerate the removal of individuals for translocation, this management action should be considered in planning for the conservation of endangered species projected to have little or no suitable habitat remaining under future climatic conditions.

Keywords	endangered species; translocation; assisted migration; climate change; Pacific Islands
Taxonomy	Global Change, Environmental Management
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March 3, 2017

Dear Sir or Madame,

Thank you for considering our manuscript “Nowhere to Go: Barriers to the Use of Assisted Colonization for Climate Sensitive Species” for publication in *Biological Conservation*.

Hundreds of species globally face likely extinction due to downstream effects of climate change, such as sea level rise, habitat loss, and disease. For some species, refugia may exist outside of their historical range, but they may need human assistance to reach these refugia. This practice of human-mediated translocation to sites outside of the historical range, here referred to as “assisted colonization”, has been successfully utilized to expand species ranges and decrease the likelihood of extinction in several documented cases, but is woefully under-utilized in the Pacific region, compared to the number of species facing extinction due to climate change. In this manuscript, we utilized structured surveys and first-person interviews with natural resource management professionals and researchers within federal, state and nongovernmental agencies to characterize perceived and existing barriers to the use of assisted colonization.

Since the USFWS began incorporating climate change mitigation into recovery plans, there have been several notable papers on the topic of assisted colonization:

Hoegh-Guldberg et al. 2008. *Science*, 321, 345–357.
Shirey & Lamberti. 2010. *Conservation Letters*, 3, 45-52.
Loss et al. 2011. *Biological Conservation*, 144, 92–100.

However, most papers on this topic have focused on when assisted colonization *should* be utilized, and *which* species could benefit most from this tool. To our knowledge, no study yet has examined the perceived and existing barriers to implementation of this tool.

Our results show that despite existing policies that allow for assisted colonization, this action faces considerable resistance from management professionals. The single over-arching barrier inhibiting the use of assisted colonization in the Pacific Islands is a lack of expertise and experience in the use of assisted colonization, which leads to delays in consideration and implementation. When assisted colonization is only considered as a last resort strategy, population numbers often decline below those recommended for successful translocations. Fortunately, a recent series of successful translocations has increased both the number of management professionals with experience, and willingness of some managers to consider this tool. Our manuscript contains lessons of interest to a global audience concerned about species likely to decline or become extinct due to climate change, that might benefit from timely implementation of assisted colonization.

This manuscript contains original research that has not been previously published and has not been submitted elsewhere while under consideration at *Biological Conservation*. The authors declare no conflict of interest.

Thank you for your consideration,



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on behalf of all authors

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1 **Nowhere to Go: Barriers to the Use of Assisted Colonization for Climate Sensitive Species**

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24 **Running head:** Assisted Colonization in Hawai‘i

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26 **Keywords:** endangered species, translocation, assisted migration, climate change, Pacific Islands
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The current rate of climate change poses a threat to a substantial portion of species worldwide, increasing the need for a varied range of conservation management strategies. Effective and timely actions will be required to counter the additional pressure that will be placed on vulnerable species as the climate changes. The Hawaiian Islands hold the highest rate of extinction per square area on earth. Models suggest that climate change will lead to the loss of many of the five hundred endangered species in Hawai'i alone. Given the high potential for further loss of biodiversity, the Pacific Islands are a prime location to implement novel conservation strategies. Assisted colonization, the intentional movement and release of an organism outside its indigenous range, is one management alternative for species that are predicted to lack suitable habitat under likely climate change scenarios. Despite existing policies that allow for assisted colonization in cases where it is warranted, this action is rarely considered. First person interviews with employees of federal, state and non-profit agencies, surveys, as well as literature searches were used to evaluate both the perceived and existing obstacles concerning the use of assisted colonization, with a focus on cases where this action may provide a reasonable hedge against extinction. Several potential barriers to the use of this management tool were found. Assisted colonization is considered by many to be a high-risk tool, due to the cost of preparing the target habitat, the mortality often experienced by translocated individuals, and a lack of familiarity with this method among managers. Since assisted colonization is best carried out when populations are robust enough to tolerate the removal of individuals for translocation, this management action should be considered in planning for the conservation of endangered species projected to have little or no suitable habitat remaining under future climatic conditions.

Introduction

Climate is an important component helping to shape various ecosystems and species distributions around the globe (Gallagher et al., 2015). As the climate changes, landscapes begin to shift and species respond by adapting and migrating to match the optimal conditions for their growth and reproduction (Brandon, 2014). While it may be possible for species to adapt when the climate gradually shifts over time, we are now seeing abrupt climatic fluctuations due to anthropogenic influences (Calfaro, 2015). As a result, the current rate of climate change poses a threat to a substantial portion of species worldwide (Urban, 2015). Changing climatic conditions are already imposing significant ecological consequences for many species (Rosenzweig et al., 2008; Chen et al., 2011; Wiens, 2016) increasing the need for a varied range of conservation management strategies (Vitt et al., 2010; Bellard, 2012; Schwartz, 2012; Fortini et al., 2015). Optimally, species will respond to climatic shifts by migrating to more suitable climates (Parmesan and Yohe, 2003; Dawson et al., 2011). However, migration will be especially challenging for species with limited dispersal capabilities, specialized habitat requirements, or other restrictions (Bellard, 2012; Taylor and Kumar, 2016). Additionally, climatic shifts are happening at such an accelerated rate that local adaptation is impossible for many species, since adaptation can take thousands of years to occur (Visser, 2008; Williams, 2008; Quintero and Wiens, 2013). With such limitations, as much as two-thirds of the world's extant terrestrial species may perish by the end of this century (Raven et al., 2011) leading to an immense loss in biodiversity (Pimm et al., 2014; Calfaro, 2015; Ceballos et al., 2015). Advanced conservation efforts and novel solutions are of critical importance in the face of this extinction crisis.

Two taxonomic groups in the Pacific Islands, endemic forest birds and plants, illustrate this crisis. With the arrival of Polynesians in Hawai'i, the highly diverse and charismatic native forest birds became a critical component of an emergent Hawaiian culture (Amante-Helweg et al., 2009). Today, only 20 of the 46 known species may remain in the wild. The 'Alalā (*Corvus hawaiiensis*) only persists in captivity (U.S. Fish and Wildlife Service, 2009) and since the early 1980's, 9 others may now be extinct (Camp et al., 2009). The Hawaiian avifauna has the unfortunate designation of having the highest modern extinction rate in the United States (Loope, 1998). Today, most remaining forest birds are constrained to higher and cooler elevations where they find refuge from avian malaria and its mosquito vector (van Riper et al., 1986; Samuel et al., 2011). Unfortunately, with a warming climate, several studies indicate the possible loss of much of these disease-free areas across the state (Benning et al., 2002; Fortini et al., 2015; Liao et al., 2015).

Habitat loss and introduced plant and animal species have radically transformed the composition and structure of island plant communities in the Pacific, leading to a well-recognized state of biodiversity crisis (Gemmill et al., 1998; Wagner et al., 1999; Sakai et al., 2002; Wood et al., 2007; Pratt et al., 2009). Within the context of 109 historical plant extinctions and over 300 endangered native plant species in Hawai'i alone, conservation practitioners lead a herculean effort with few resources to avoid further losses (U.S. Fish and Wildlife Service, 2013). With a growing concern that global climate change may further impact the beleaguered Pacific island biota (Sadler, 1999; Pratt and Gon, 2002; Ziegler, 2002), past research efforts have evaluated the vulnerability of nearly all native Hawaiian plant species to climate change (Fortini et al., 2013). Considering a diverse set of factors related to habitat area, quality, and distribution for each species, a comprehensive assessment identified a group of over 100 native plant species as particularly vulnerable to climate change. These so called 'no-overlap' species have projected end-of-century distributions completely disjointed from current distributions. It is highly unlikely all of these species will be able to keep pace with a shifting climate given most are narrowly distributed species with very few individuals left. Additionally, the natural colonization of these species into new climate-compatible areas is further hindered by the interaction with other threats such as the preferential predation of seeds and fruits by invasive animals, and the pre-empting of available niche by aggressive invasive plant competitors (Mack et al., 2000; Courchamp et al., 2003).

125 Assisted colonization, the intentional movement and release of an organism outside of its indigenous
126 range (IUCN), may be one conservation action suitable for species at risk of extinction in their historical
127 range (Vitt et al., 2010; Schwartz, 2012). This tool has been successfully utilized to expand the range of
128 single-island populations and resolve human–wildlife conflict (Fischer and Lindenmayer, 2000; Freifeld
129 et al., 2016). Despite these successes, and the dire situation facing managers in the Pacific Islands and
130 globally, assisted colonization is still rarely used. A number of studies have considered whether assisted
131 colonization should be used as a management tool (Fazey and Fischer, 2009; Ricciardi and Simberloff,
132 2009; Sax et al., 2009; Schwartz et al., 2009; Seddon et al., 2009; Vitt et al., 2009), but to our knowledge,
133 no study has examined perceived barriers to the use of this tool by natural resource managers and decision
134 makers.

135
136 In this study, we aimed to identify barriers to the use of assisted colonization in the Pacific Islands,
137 utilizing first person interviews and questionnaires with natural resource management professionals and
138 researchers. We then illustrate the results utilizing case studies in two taxonomic groups, endemic
139 Hawaiian forest birds and native Hawaiian plants.

140 141 **Methods**

142
143 A total of 14 participants (n=14) were selected based on their experience and expertise as professionals in
144 the field of resource management and wildlife biology. To establish transferability, participants were
145 selected based on reputation, experience, professional achievement and excellence in conservation to
146 ensure trustworthiness of the attained data. Together, the participants came from national and
147 international backgrounds, having 14 to 20 years of experience. Following the model from Glaser and
148 Strauss (1967), data collection was deemed complete once saturation of material was reached; meaning
149 the number of participants interviewed ended when no additional data could be found that developed
150 properties of the conceptual categories.

151
152 **2.1 First-person interviews.** A semi-structured interview protocol was designed, and six personal
153 interviews were carried out from February to December 2016. Information sheets were provided to each
154 participant via email to inform them of the study and to gain formal consent in their involvement. The
155 initial participants could suggest other participants with knowledge and experience that they felt could be
156 beneficial to the study. After the interviews were conducted, findings were sent back to the participants
157 for a member-check, to ensure accurate communication. Interviews were conducted in person and via
158 telephone and were recorded by hand-written notes. We recognize that the different settings could
159 conceivably result in differences in responses.

160
161 The protocol included five questions that served as a guide for the interview. Probing, or follow-up
162 questions differed at each interview, and were used to further clarify responses. The protocol consisted of
163 the following questions: (1) Describe the barriers you face in the process of implementing assisted
164 colonization? (2) Are there any cases that you are familiar with that assisted colonization could be
165 considered for? (3) What are your top concerns when considering the use of assisted colonization? (4) Are
166 there any policies or procedures that would ease your use of assisted colonization? If yes, please explain
167 what would make implementing assisted colonization easier. (5) In your expertise area, where has this
168 management option failed, succeeded and where has it not been considered?

169
170 **2.2 Questionnaires.** A standardized questionnaire was designed and delivered to a group of eight
171 participants in December 2016. For the purpose of this model, the questionnaire was completed as a
172 highly-structured, open-ended questionnaire form (Merriam, 2009) that was filled out and handed in.
173 Information sheets were provided to each participant to inform them of the study and to gain formal
174 consent in their involvement. The questionnaire sheet remained anonymous and had no identifying

175 inscriptions or questions of any kind. The questionnaires were delivered in person and distributed in a
176 group setting. We recognize that the chosen setting could conceivably result in differences in responses.
177 The survey included eleven questions, five of which were based on a quantitative ranking system: (1)
178 How would you rank your understanding of assisted colonization? (2) How would you rank the ease of
179 working through policy as it pertains to assisted colonization? (3) How would you rank the ease of
180 working with other managers and stakeholders as it pertains to assisted colonization? (4) How would you
181 rank the importance of vulnerability modeling as it pertains to the consideration of assisted colonization?
182 (5) How would you rank the importance of budget concerns as it pertains to the consideration of assisted
183 colonization? The remaining five (6-10) questions were formatted to gather written answers to the same
184 five questions asked at in-person interviews described above.

185
186 **2.3 Analysis.** Interviews and questionnaires were qualitatively analyzed using the constant comparative
187 qualitative method. The constant comparative method is a process that compares all collected data and
188 codes for recurring themes (Glaser and Strauss, 1967). Coding is used to ensure consistency within the
189 study. Constant comparative methodology integrates four stages: “(1) comparing incidents applicable to
190 each category, (2) integrating categories and their properties, (3) delimiting the theory, and (4) writing the
191 theory” (Glaser and Strauss, 1967). The documented interviewee responses were grouped by topics
192 relevant to existing and perceived barriers to the use of assisted colonization.

193 194 **Results**

195
196 Perceived barriers to use of assisted colonization could be generally categorized as technical barriers
197 (policy, permitting) or ecological and economic uncertainties (Table 1). All study subjects indicated that
198 avoidance of risk was a significant barrier to the use of assisted colonization. Participants noted that
199 decision makers tend to be conservative and rely on proven management actions that have been
200 successful in the past. When a key decision maker for a geographic region is particularly conservative,
201 they may not allow discussion or consideration of novel options. This can result in institutional opposition
202 and resistance to innovative solutions.

203
204 **3.1 Policies and permitting.** Our analysis revealed that four participants did perceive policy as a barrier
205 to the practice of assisted colonization, six participants do not perceive policy as a barrier, and four did
206 not mention policy in their dialogue. The majority of participants observed that assisted colonization was
207 a potential option under both federal and state law as it is allowed for under the Endangered Species Act
208 (ESA); primarily under Section 10(j) and the experimental population provision (16 U.S.C. §1539(j)).
209 Several participants recognized that maneuvering through translocation policy and the permitting process
210 is restrictive and difficult. Only one participant knew of no policy pertaining to assisted colonization, but
211 was familiar with policies associated with translocations.

212
213 **3.2 Economic constraints.** Our research identified limited finances, and uncertainty regarding future
214 financing of projects, as a significant barrier in the decision-making process. Participants noted that
215 substantial operating costs are associated with obtaining risk assessments, conducting a thorough
216 permitting process, providing ongoing monitoring, captive propagation, animal husbandry and habitat
217 restoration. Some participants noted that translocations are a long-term commitment, and future financing
218 is uncertain. Furthermore, moving the last remaining individuals from one island to another might result
219 in a reduction in funding for the source island, if the translocated population acted as an umbrella species,
220 garnering funding for the geographic region. As a result of these combined concerns, all interview
221 participants and nearly all survey participants recognized economic constraints as a top concern.

222
223 **3.3 Ecological risk and uncertainty.** Participants recognized that impact models exist for many species
224 of concern, such as forest birds and plants. Impact models do not exist for most invertebrates and many
225 plants. Even if impact models exist, uncertainties which are inherent with modeled projections, or even a

226 lack of comfort with understanding computer models, may result in resistance to acting on information
227 generated by modeling. Out of 14 participants, 12 identified concerns about ecological uncertainties as
228 potentially outweighing the possible benefits of assisted colonization. Ecological risks that were
229 mentioned involved risks to translocated individuals (i.e, direct mortality, genetic bottlenecks, inbreeding
230 depression, hybridization) and risks to the recipient ecosystem (i.e., disease transmission, the potential for
231 introduced species to become invasive). Nine participants raised concerns about knowledge gaps for
232 candidate species, particularly cryptic or rare species which may lack pivotal information regarding
233 behavior, such as foraging or breeding habitat preferences.

234 Case studies

235
236
237 **4.1 Native Hawaiian plants.** The potential for assisted colonization for some native Hawaiian plant
238 species aligns with several factors that make it a less controversial option for managers locally. First, the
239 complex Hawaiian geography gives many options of assisted colonization with increasing degree of
240 novelty. Managers can consider out-planting outside the historical range of a species but still within the
241 same watersheds, or out-planting across different watersheds, mountain ranges, or islands. Second, for
242 many plant species the difference between standard out-planting and assisted colonization is quite fuzzy,
243 as their pre-historical ranges were likely wider and/or largely unknown (Cuddihy and Stone, 1990; Gagne
244 and Cuddihy, 1990; Athens, 2009).

245
246 A recent meeting with several land managers at the island of O’ahu resulted in widespread agreement for
247 the need to consider out-planting beyond the known historical range of species. This agreement was likely
248 bolstered by surprisingly successful trial plantings of *Cyanea superba* at higher elevations, which also
249 highlighted the possibility that some species may already be under stress from the documented multi-
250 decadal drying trend across the state (Bassiouni and Oki, 2013, Frazier and Giambelluca, 2016). Still, as
251 assisted colonization is increasingly considered, some challenges are eminent. Hawaiian botanists
252 recognize subtle differences in morphology across plant populations and many islands have closely
253 related species and subspecies. The possible mixing of some of these distinct populations will be
254 undoubtedly controversial, due to concerns about loss of distinctive morphotypes or genotypes, as well as
255 concerns about outbreeding depression. The subdivision of the landscape into multiple management units
256 with differing management goals also means that not many areas will be available for the introduction of
257 endangered plants and their associated legal burdens, limiting target areas to conservation lands managed
258 by the state or federal government.

259
260 Looming above these considerations is the disastrous history of plant introductions in Hawai’i. One clear
261 reason for the challenging state of Hawaiian plant conservation is the relentless pace of species
262 introductions since human arrival, thousands of times more frequent than pre-historical introductions
263 (Ziegler, 2002). However, while this history highlights the caution that must be taken to avoid
264 unintended consequences of assisted colonization; it also makes inaction a rather absolutist option.
265 Nevertheless, for some managers, the idea of assisted colonization may seem analogous to fighting fire
266 with fire.

267
268 **4.2 Kaua’i forest birds.** Two of Kauai’s endemic forest birds, the ‘Akikiki (*Oreomystis bairdi*) and
269 ‘Akeke’e (*Loxops caeruleirostris*) are particularly vulnerable given their susceptibility to avian malaria
270 and the fact that they have no higher disease-free habitat available (Paxton et al., 2016, Fortini et al.,
271 2015). Given that other islands in Hawai’i have seemingly compatible habitat at higher elevations,
272 assisted colonization has been a topic discussed by the Hawaiian forest bird conservation community.
273 Recent research has shown that, for both species, the islands of Maui and Hawai’i indeed offer
274 climatically suitable habitat that is likely to endure at least until the end of the century under mild to
275 moderate warming (Fortini et al, 2016, unpublished results). Yet, while the very clear threat of climate

276 change and the potential candidate locations for assisted colonization for these two species suggest an
277 ideal setting for assisted colonization, further considerations highlight the multiple complexities involved.
278

279 Both Maui and Hawai‘i islands have highly endangered forest bird species. Past research suggests the
280 competition and range overlap among native bird species may not be too large (Mountainspring and Scott,
281 1985; Scott et al., 1986, Fortini et al, submission date, unpublished results), but the possibility of any
282 additional pressure on endemic Maui and Hawai‘i species is a concern by managers. Another source of
283 biological uncertainty pertains to prior extinctions of related species. ‘Akeke‘e is closely related to the
284 extinct Maui ‘Ākepa (*L. ochraceus*). On one hand, the introduction of ‘Akeke‘e in to Maui can be
285 considered as a rare opportunity to restore ecological function lost by past extinction. On the other hand,
286 without an understanding of the causes of extinction of Maui ‘Ākepa, such introduction faces a higher
287 than normal risk of failure.
288

289 From a logistical point of view, the current situation of ‘Akeke‘e and ‘Akikiki also highlight the
290 complexities of fitting assisted colonization into a broader long-term conservation strategy for these
291 species. As disease-related declines for the two species continue (Atkinson et al., 2014, Paxton et al.,
292 2016), efforts to collect eggs for captive populations for the two species have recently started. If
293 successful, captive populations offer a possible stepping stone towards future assisted colonization by
294 avoiding take of individuals from wild populations. However, this stepping-stone approach requires
295 successfully large and reproducing captive populations, which are far from certain given the challenges in
296 collecting and hatching enough individuals. To make the decisions space more uncertain, there is a
297 growing possibility of new mosquito control techniques that may reduce prevalence of the disease across
298 the landscape and thus reduce the need for assisted colonization for these species. This complex decision
299 making environment makes a seemingly clear case for assisted colonization a lot more challenging under
300 closer considerations.
301

302 Discussion

303
304 In this study, participants identified a lack of expertise and experience in the use of assisted colonization
305 as the single most significant barrier inhibiting the use of assisted colonization in the Pacific Islands.
306 Participants recognized that all other identified barriers, including uncertainty regarding ecological
307 impacts to both the translocated species and recipient ecosystem, as well as permitting or policy issues,
308 could be ameliorated through experience with this management tool. Efficiencies gained through
309 experience might also decrease costs associated with translocations.
310

311 Encouragingly, study participants recognized that existing policy at the state and federal level allows for
312 assisted colonization. According to the language of the ESA, the legal framework allows for translocation
313 of endangered populations to new habitats (i.e. Section 10(j); 16 U.S.C. §1539(j); Shirey 2009). However,
314 there is some potential for misinterpretation of policy, as noted by seven participants. In 1984, a primary
315 habitat restriction was added by U.S. Fish and Wildlife Service (USFWS), restricting relocation to inside
316 the species historical range unless “the primary habitat of the species has been unsuitably and irreversibly
317 altered or destroyed” (50 C.F.R. §17.81(a)). The agency’s position provided “that the relocation or
318 transplantation of native listed species outside their historic range will not be authorized as a conservation
319 measure” (49 FR 33890). This discouraging wording can lead to misinterpretation of policy since
320 USFWS will still authorize release outside the current range if “release will further the conservation of
321 such species” (16 U.S.C. §1539(j)).
322

323 Impact modeling is still lacking for most invertebrate fauna, and some plants and mammals, but has been
324 completed for all Hawaiian forest birds and 1100 species of Hawaiian plants. However, once impact
325 modeling has been completed, decision makers may be uncomfortable taking action based on these
326 models. Impact modeling must be seen as one of several tools that may be used to identify species at risk.

327 Many decision makers will also want to see empirical evidence that species are declining due to climate-
328 related factors, along with experimental studies that assess the risk to both translocated species and the
329 recipient ecosystem.

330
331 Management professionals were perceived in our interviews and questionnaires to be cautious by nature,
332 preferring conservative, tested, and familiar approaches to conservation. As funds are limited, and
333 managers prefer to take actions that produce visible, immediate results that can be demonstrated to
334 funding agencies. Some managers and researchers also pointed out that management action in
335 preparation for climate change is a relatively novel concept. A person's perception of climate change was
336 found to strongly influence the implementation of certain management policies. Due in part to political
337 influence; 87% of species recovery plans through 2008 didn't mention climate change at all (Povilitis and
338 Suckling, 2010). Endangered species recovery plans in the United States are now required to consider
339 climate change, but many plans have not been updated and lack guidance in regards to current threats
340 such as climate change (Fischman et al., 2014).

341
342 The most notable hesitation regarding the use of assisted colonization revolved around the ecological risk
343 of translocations, including the risk of introduced populations becoming invasive, or encountering adverse
344 ecological interactions in the recipient ecosystem. Two participants specifically mentioned the potential
345 for predation or competition following translocation. These are legitimate concerns that have also been
346 raised by other studies (McLachlan et al., 2007; Etterson, 2008; Hoegh-Guldberg et al., 2008; Ricciardi
347 and Simberloff, 2009; Loss et al., 2011; Schwartz et al., 2011). However, by addressing these risks within
348 a structured decision-making framework (McLachlan et al., 2007; Ricciardi and Simberloff, 2009; Loss et
349 al., 2011; Schwartz et al., 2011), we may provide decision makers with a means of weighing out the
350 likelihood of success under various scenarios.

351
352 Resistance was also due to practical considerations, such as economic or time constraints (Shirey, 2009).
353 Translocations require years of planning and long-term monitoring, as mentioned by two participants. The
354 lengthy process of attempting a translocation outside of the species' historical habitat could deter a
355 management team from considering the option (Wolok, 2001). In some cases, assisted colonization is
356 looked at as not being relevant on the time scale many managers are working on. Regional politics may
357 play a role, as assisted colonization may be perceived as the conservation community giving up on a
358 geographic region. The loss of charismatic umbrella species could also mean a loss of funding.

359
360 For many species in the Pacific region, population sizes are below numbers that would support assisted
361 colonization efforts, even with an intermediate captive propagation step (Lenton et al., 2008; Vitt, 2010).
362 Several participants suggested that captive propagation may serve as a bridge to assisted colonization.
363 Once a captive population is established, adults or juveniles can be introduced to novel, suitable habitats.
364 However, it is worth to contrast the differences in perception between assisted colonization and captive
365 propagation among participants. Captive propagation was considered by our study participants to be less
366 controversial than assisted colonization because many managers are familiar with *ex situ* propagation of
367 plants or animals. But captive propagation is also a highly manipulative management strategy that is also
368 expensive, subject to a high degree of uncertainty and with few examples of successful reintroductions.

369

370

371

371 **Conclusions and Future Directions**

372

373 Assisted colonization is by no means the answer to every situation, but with species extinction happening
374 at a rate faster than the last five mass extinctions (Raven et al., 2011; Calfaro, 2015) and climate change
375 perpetuating species loss at unprecedented rates (Bellard et al., 2012; Fortini et al., 2015; Gallagher et al.,
376 2015), it could very well be a viable option for many species. The use of adaptive management, site-
377 specific frameworks, comprehensive ecological knowledge, and pre-translocation assessments (Gallagher

378 et al., 2015) will increase the success of all types of translocations, including assisted colonization
379 (McLachlan et al., 2007).

380
381 Assisted colonization is often considered when population numbers have dwindled below those that
382 recommended for successful translocations. For assisted colonization to be a viable option, decision
383 frameworks must be developed that allow assisted colonization to be considered, alongside other
384 management options, for all viable candidates for this tool. By using a structured decision-making
385 framework, managers may be able to numerically assess risk, particularly in cases where a species is
386 certain to go extinct if left alone, but has a chance of survival if novel actions, such as assisted
387 colonization, are taken. Exceptions to this are extremely rare species with a declining population (e.g.,
388 Mariana Crow) and species that are only found in captivity because their home island no longer provides
389 suitable habitat, making these species “refugees” (e.g., Guam Kingfisher, U.S. Fish and Wildlife Service,
390 2008).

391
392 Much of the apprehension surrounding assisted colonization, and all translocations for that matter, can be
393 alleviated with experienced leadership proficient in translocation procedures. Lack of expertise was
394 identified as a significant hindrance to the consideration and especially the implementation of using
395 assisted colonization as a conservation technique. Trailblazers familiar with this management option must
396 be encouraged to support others considering the strategy, through both formal and informal networking
397 and training opportunities. Building leaders with experience in areas such as permitting, habitat
398 preparation, husbandry and cultural knowledge will also reduce barriers.

399 Momentum is needed to reach the point where a sufficient number of conservationists began to consider
400 assisted colonization as a viable option. To achieve this, managers and researchers from regions with a
401 high number of species likely to benefit from assisted colonization could develop communities of practice
402 around translocations in general, and assisted colonization in particular. Creating a community of practice
403 is an important step toward reducing barriers to use of novel management tools, particularly as effective
404 conservation often requires collaborative relationships across multiple stakeholders. We suggest
405 designing an approach to document translocation successes as well as failures. Many regions may also
406 benefit from a regionally-specific framework of planning steps. One important such step is pursuing
407 comprehensive efforts to identify candidate species for assisted colonization. With a network of
408 practitioners, and a best practices framework, assisted colonization may be considered in a timely manner
409 for species grappling with a tenuous future.

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710 Table 1. Barriers to the use of assisted colonization in the Pacific Islands.
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Barriers	Participant Acknowledgement													
	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10	P11	P12	P13	P14
Significant Barriers														
Risk aversion	X	X	X	X	X	X	X	X	X	X	X	X	X	X
Economic constraints	X	X	X	X	X	X	X	X	X	X	X	X		X
Ecological uncertainty	X	X	X	X	X	X			X		X	X	X	X
Lack of species data					X	X		X	X	X	X	X	X	X
Lack of expertise					X	X	X	X	X	X	X	X	X	X
Policy		X		X	X		X			X	X			X
Permitting								X		X	X		X	
Timescale issues	X	X												
Impacts to receiving system	X	X												